



Attorney Docket No.: 100103-000110US

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tonny Yu
Serial No. : 09/776,034
Filed : February 1, 2001
Title : USING AN INTERMEDIARY TO MANAGE UNWANTED ELECTRONIC
MESSAGES

Art Unit : 2152
Examiner : Unassigned

#5
8.3 and
4/20/04

Commissioner for Patents
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Alexandria, VA 22313-1450

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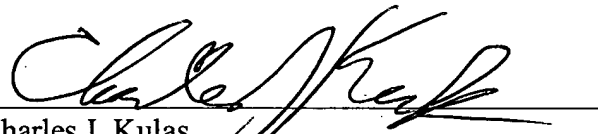
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Respectfully submitted,

Date: April 8, 2004


Charles J. Kulas
Reg. No. 35,809

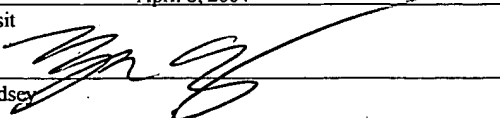
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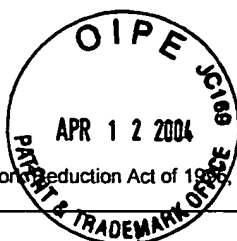
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2152



PTO/SB/21 (6-98)

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TRANSMITTAL FORM

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Application Number	09/776,034
Filing Date	February 1, 2001
First Named Inventor	Tonny Yu
Group Art Unit	2152
Examiner Name	Unassigned
Attorney Docket Number	100103-000110US

Total Number of Pages in this Submission

5

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
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1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawings☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)
and accompanying Petition☐ Petition to Convert to a
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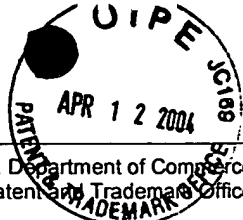
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 100103-000110US	Application No. 09/776,034
		Applicant Tonny Yu	
		Filing Date February 1, 2001	Group Art Unit 2152

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,684,238	01/27/2004	DUTTA			
	AB	6,684,088	01/27/2004	HALAHMI			
	AC	6,671,718	12/30/2003	MEISTER et al.			
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	AJ	6,592,627	07/15/2003	AGRAWAL et al.			
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	AV	6,460,075	10/01/2002	KRUEGER et al.			
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	AX	6,446,115	09/03/2002	POWERS			
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	AAA	6,424,426	07/23/2002	HENRY			

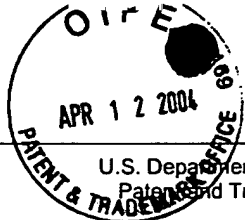
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	Applicant Tonny Yu		
	Filing Date February 1, 2001	Group Art Unit 2152	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ABB	6,413,000	07/02/2002	BORCHERDS et al.			
	ACC	6,405,243	06/11/2002	NIELSEN			
	ADD	6,405,225	06/11/2002	APFEL et al.			
	AEE	6,401,112	06/04/2002	BOYER et al.			
	AFF	6,374,292	04/16/2002	SRIVASTAVA et al.			
	AGG	6,363,414	03/26/2002	NICHOLLS et al.			
	AHH	6,351,523	02/26/2002	DETLEF			
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	AYY	6,052,709	04/18/2000	PAUL			
	AZZ	6,023,723	02/08/2000	McCORMICK et al.			
	AAAA	5,999,967	12/07/1999	SUNDSTED			
	ABBB	5,987,609	11/16/1999	HASEKE			

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		Applicant Tonny Yu	
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by Applicant**
(Use several sheets if necessary)

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	5,978,799	11/02/1999	HIRSCH			
	ADDD	5,878,230	03/02/1999	WEBER et al.			
	AEEE	5,822,526	10/13/1998	WASKJEWICZ			
	AFFF	5,781,857	07/14/1998	HWANG et al.			
	AGGG	5,742,769	04/21/1998	LEE et al.			
	AHHH	5,694,616	12/02/1997	JOHNSON et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AIII							
	AJJJ							
	AKKK							
	ALLL							
	AMMM							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	ANNN	
	AOOO	
	APPP	
	AQQQ	

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